

Formal Verification of Maritime Autonomous Systems Using UPPAAL STRATEGO

Fatima Shokri-Manninen¹,
Jüri Vain², and Marina Waldén¹

¹ Dept. of Information Technologies, Åbo Akademi University, Finland
{[fatemeh.shokri](mailto:fatemeh.shokri@abo.fi),[marina.walden](mailto:marina.walden@abo.fi)}@abo.fi,

² Dept. of Software Science, Tallinn University of Technology, Estonia
{[juri.vain](mailto:juri.vain@taltech.ee)}@taltech.ee

Abstract

Lately the demand for autonomous ships has grown substantially. Autonomous ships are expected to navigate safely and avoid collisions following accepted navigation rules. We model the autonomous system as a Stochastic Priced Timed Game using UPPAAL STRATEGO. The behaviour of the controller is optimised and verified in order to achieve the goal to safely reach the destination at a minimum cost.

1 Introduction

The demand for unmanned ships has risen aiming at reducing operation costs due to minimal crew on board and safety at sea but also promoting remote work. Autonomous ships are expected to make more and more decisions based on their current situation at sea without direct human supervision. This means that an autonomous ship should be able to detect other vessels and make appropriate adjustments to avoid collision by maintaining maritime traffic rules. However, the existence of a ‘virtual captain’ from the shore control centre (SCC) is still a must to perform critical or difficult operations [1]. The presence of virtual captains also increase the chances of spotting a cyber-attacks [10]. The connectivity between ships and SCC has to guarantee sufficient communication for sensor monitoring and remote control [5] when SCC intervention is needed. This connectivity also plays an important role for the safety of operations concerning collision avoidance in the remote-controlled scenarios as it needs to be fast for transforming the data and receiving information regarding the decision from SCC. For preventing collisions at sea, the International Maritime Organization (IMO) [6] published navigation rules to be followed by ships and other vessels at sea which are called Convention On the International Regulations (COLREG).

When developing the autonomous ship navigation system, quality assurance via model-based control synthesis and verification is of utmost importance. UPPAAL STRATEGO [4] is a branch of the UPPAAL [2] family of model checker tools. It uses machine learning and model checking techniques to synthesize optimal control strategies. Hence, it is a good candidate for control synthesis tool which satisfies above mentioned requirements.

In our research, we aim at adapting formal modelling with UPPAAL STRATEGO for verifying and synthesizing safe navigation of autonomous ships. As an additional contribution, we improve the autonomous ships navigation performance regarding its safety and security at the same time planning for optimal route and scheduling maneuvers according to COLREG rules. Furthermore, this study relies on experience reports regarding the identified challenges for formal modelling of autonomous systems.

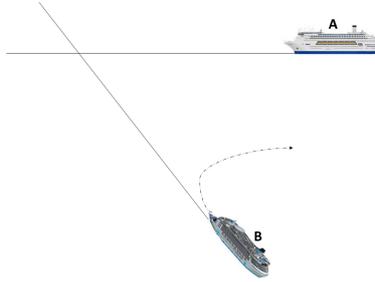


Figure 1: Autonomous Navigation of Ships

2 Related work

There have been a variety of studies on autonomous ship navigation obeying COLREGS rules. Among these fuzzy logic [8], interval programming [3], and 2D grid map [11] could be mentioned. However, the previous approaches do not deal with verification for modelling safe navigation. Moreover, non-deterministic behaviour of autonomous ship, communication delays, sensor failure and weather feature are not considered in their models.

Recently, in MAXCMAS project [12], COLREGS rules have been implemented in collision avoidance module (CAM) software where generates collision avoidance decision and action as soon as collision risk is detected. In spite of their various simulation tools, verification methods are discussed only implicitly.

UPPAAL STRATEGO has proven its relevance in several case studies, where optimal strategies have been through statistical model checking and machine learning. Examples include for instance adaptive cruise control [7].

3 Model

When modelling navigation manoeuvres of autonomous ships, we start with standard situations, addressed in COLREG. As an example, let us consider a scenario where two ships have intersecting courses as depicted in Figure 1.

In this example, in spite of the existence of monitor from the SCC, we assume also that ships are equipped with intelligent controllers. According to Rule 15 of COLREG [9]; when two power driven vessels have intersecting courses with the risk of collision, the vessel which has the other on her own starboard (right) side shall keep out of the way and avoid crossing ahead of the other vessel. If there is a risk of collision between vessels headed for a crossing situation, a vessel has to give way to the vessel on its starboard side. In this case the vessel giving way should adjust its speed and/or course to pass behind the incoming vessel. The adjustment will therefore be made to the starboard side. In the case depicted in Figure 1, Ship B should give way while ship A maintains its direction and speed.

The controller of ship B has a choice to slow down its speed instead of altering its path to pass ship A. By doing this, the expected arrival time might not be as late as when following a redirected route. However, if for some reason ship A is slowing down, then the controller should navigate ship B safely to another route.

We model the system as a Stochastic Priced Timed Game using the tool UPPAAL STRATEGO where the controller of ship B should dynamically plan its maneuver, while the opponent

(ship A) moves according to its preset trajectory forces ship B to change its route. In this game, we define the fuel consumption (FC) as a the price to be minimized under the safe strategy. The change in velocity of the ship is directly related to FC, so that the consumption of fuel increases if the ship slows down or speeds up rather than changes the route, causing the price to increase.

The goal is that the ships move to their target positions in a safe way (without the risk of a collision) while at the same time optimizing the travel times and also the fuel consumption. We rely on reinforcement learning and Q-learning supported via UPPAAL STRATEGO to optimize and verify the behaviour of the controller in order to achieve the goal.

4 Conclusion

In this paper, the approach for the control synthesis has been stated as a stochastic two players game with the goal of collision avoidance. Taking into account several practically important side constraints such as wind, currents, navigation mistakes by adversary's vessel, and involvement of other obstacles (nautical signs, small boats) complicates the synthesis task and presumes the validation of the approach under extra constraints not studied in standard game-theoretic setting yet.

Acknowledgments

This study has been partly supported by the Academy of Finland project ESC (grant no. 308980) and the Estonian Ministry of Education and Research institutional research grant no. IUT33-13.

References

- [1] Sauli Ahvenjärvi. The human element and autonomous ships. *TransNav: Int. J. on Marine Navigation and Safety of Sea Transportation*, 10(3):517–521, 2016.
- [2] Gerd Behrmann, Alexandre David, and Kim G. Larsen. A tutorial on Uppaal. In Marco Bernardo and Flavio Corradini, editors, *Revised Lectures from Int. School on Formal Methods for the Design of Real-Time Systems, SFM-RT 2004*, volume 3185 of *Lecture Notes in Computer Science*, pages 200–236. Springer, 2004.
- [3] Michael R. Benjamin, Joseph A. Curcio, John J. Leonard, and Paul M. Newman. Navigation of unmanned marine vehicles in accordance with the rules of the road. In *Proc. of 2006 IEEE Int. Conf. on Robotics and Automation, ICRA 2006*, pages 3581–3587. IEEE, 2006.
- [4] Alexandre David, Peter Gjøøl Jensen, Kim Guldstrand Larsen, Marius Mikučionis, and Jakob Haahr Taankvist. Uppaal Stratego. In Christel Baier and Cesare Tinelli, editors, *Proc. of 21st Int. Conf. on Tools and Algorithms for the Construction and Analysis of Systems, TACAS 2015*, volume 9035 of *Lect Notes in Computer Science*, pages 206–211. Springer, 2015.
- [5] Marko Höyhty, Jyrki Huusko, Markku Kiviranta, Kenneth Solberg, and Juha Rokka. Connectivity for autonomous ships: Architecture, use cases, and research challenges. In *Proc. of 2017 Int. Conf. on Information and Communication Technology Convergence, ICTC 2017*, pages 345–350. IEEE, 2017.
- [6] IMO. Convention on the international regulations for preventing collisions at sea (COLREGs), 1972.
- [7] Kim Guldstrand Larsen, Marius Mikučionis, and Jakob Haahr Taankvist. Safe and optimal adaptive cruise control. In Roland Meyer, Andre Platzer, and Heike Wehrheim, editors, *Correct System*

- Design: Proc. of Symp. in Honor of Ernst-Rüdiger Olderog on the Occasion of His 60th Birthday*, volume 9360 of *Lecture Notes in Computer Science*, pages 260–277. Springer, 2015.
- [8] Sang-Min Lee, Kyung-Yub Kwon, and Joongseon Joh. A fuzzy logic for autonomous navigation of marine vehicles satisfying COLREG guidelines. *Int. J. Contr. Autom. Syst.*, 2(2):171–181, 2004.
 - [9] L.P. Perera, J.P. Carvalho, and C. Guedes Soares. Autonomous guidance and navigation based on the COLREGs rules and regulations of collision avoidance. In *Proc. of Int. Workshop on Advanced Ship Design for Pollution Prevention, ASDEPP 2009*, pages 205–216, 2009.
 - [10] Kimberly Tam and Kevin Jones. Cyber-risk assessment for autonomous ships. In *Proc. of 2018 Int. Conf. on Cyber Security and Protection of Digital Services, Cyber Security 2018*, 8 pp. IEEE, 2018.
 - [11] Ken Teo, Kai Wei Ong, and Hoe Chee Lai. Obstacle detection, avoidance and anti collision for Meredith AUV. In *Proc. of OCEANS 2009 MTS/IEEE Biloxi Conf.*, 10 pp. IEEE, 2009.
 - [12] J.M. Varas, S. Hirdaris, R. Smith, P. Scialla, W. Caharija, Z. Bhuiyan, T. Mills, W. Naem, L. Hu, I. Renton, D. Motson, E. Rajabally. MAXCMAS project: Autonomous COLREGs compliant ship navigation. In *Proc. of 16th Conf. on Computer Applications and Information Technology in the Maritime Industries, COMPIT '17*, pages 454–464. Technische Univ. Hamburg, 2017.